

# Global Future Population Grids

Deborah Balk<sup>1</sup>  
Bryan Jones<sup>1</sup>  
Mark Montgomery<sup>2</sup>  
Stefan Leyk<sup>3</sup>

<sup>1</sup> CUNY Institute for Demographic Research & Baruch College, CUNY

<sup>2</sup> Population Council

<sup>3</sup> University of Colorado, Boulder

# Retooling population forecasts

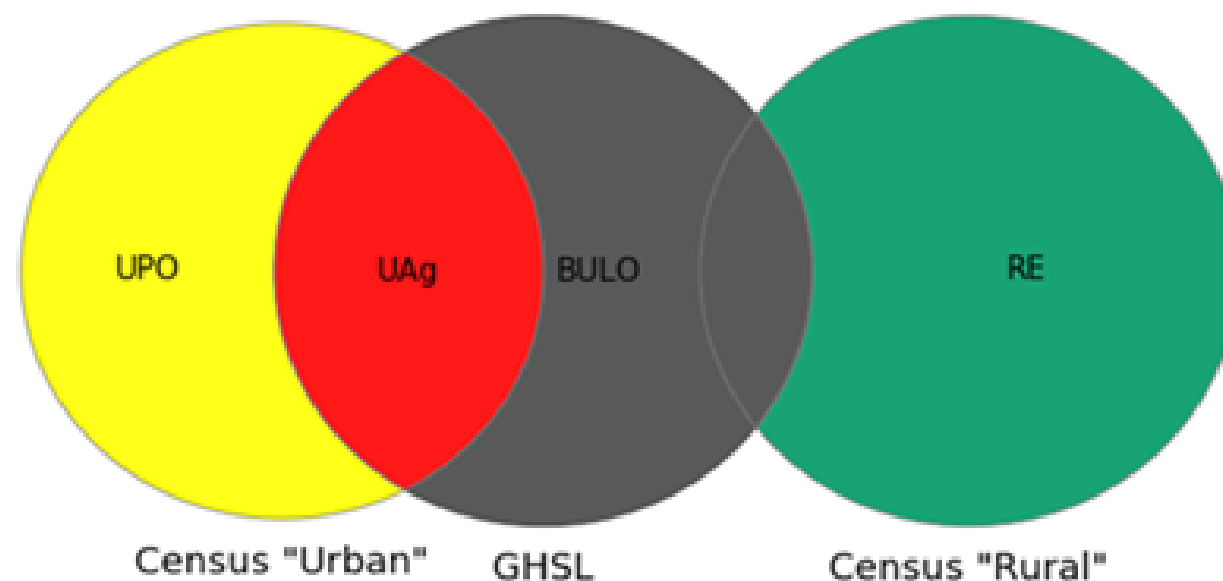
- *Aspatial approaches* typically use the “cohort component method” which is unsuitable to fine-scale population distributional changes
- However, *spatial approaches* are demographically naïve.
  - GHSL offers promise for improvements.

# Part I

- Population over space vs. over time
  - Most previous efforts are over space or time, not both
  - Most efforts attempting both are at a coarse resolution.
  - GHSL offers some new possibilities
- GHSL vs. Census
  - Changes in “Urban Status”

# GHSL + Census: 1990-2010

Figure 2. Venn diagram showing possible subsets created from combining layers and resulting classification schema.

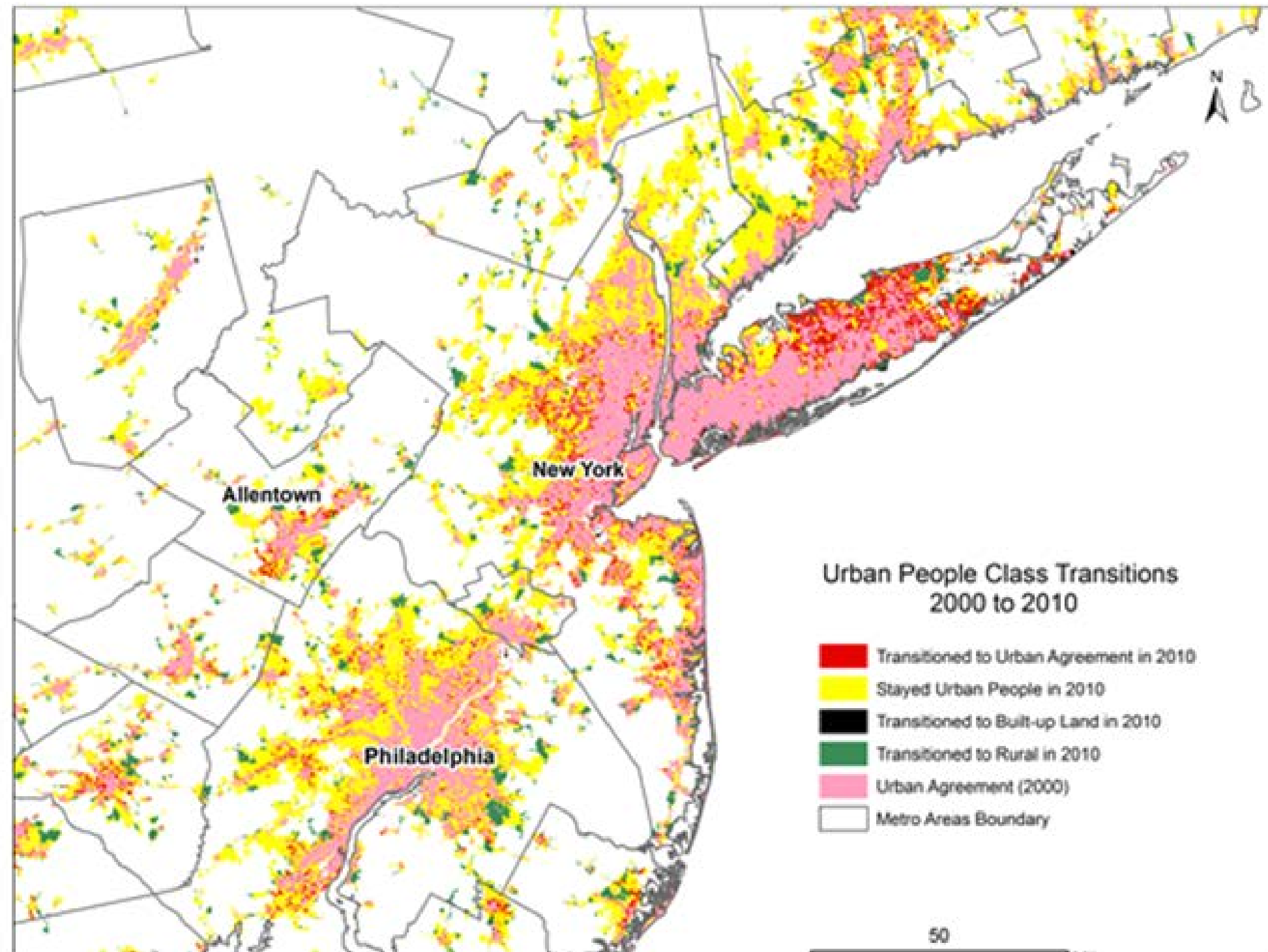


Not shown:  $UI = UAg \cup BULO \cup UPO$

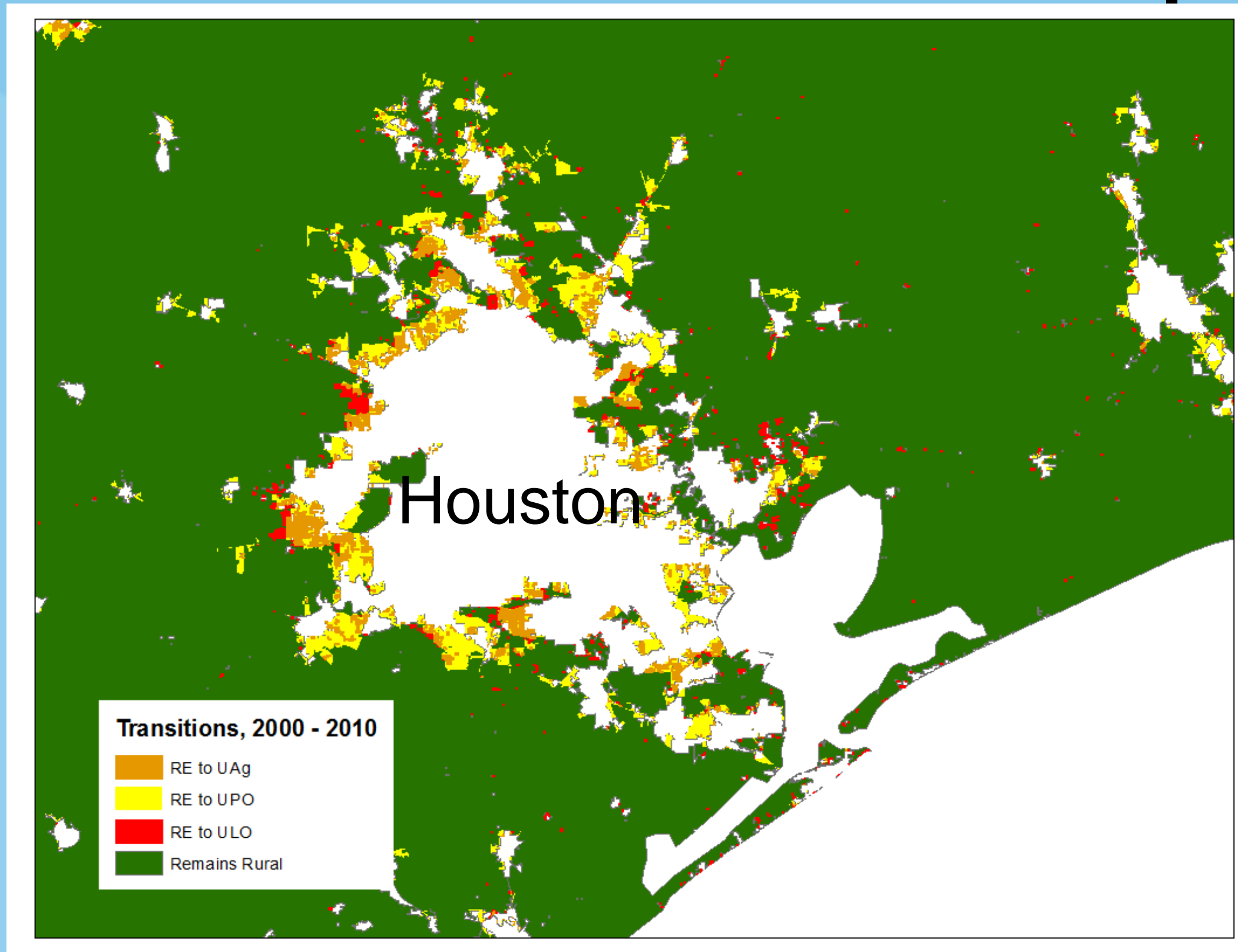
Concept	Shorthand (Acronym)
Urban inclusive (based on built-up threshold OR census definition)	Urban inclusive (UI)
Urban agreement (based on built-up threshold AND census definitions)	Urban agreement (UAg)
Urban land-focused: GHSL built-up threshold	Built-up land only (BULO)
Urban people-focused: Census-defined urban blocks	Urban people only (UPO)
All else (includes rural, protected and uninhabited areas)	Rural Extents (RE)

# Changes in Urban Classification

Figure 4. Change in urban classification, 2000-2010, New York City MSA.



# Rural land → Built-up



# Estimating transitions

	Area (sq km)		Land Classification and Area, in the following decade			Change as % of the Original Area		Overall Transitions: Stay Urban		Transition to Rural Extents	
Urban Class	1990	2000	Layer	2000	2010	2000	2010	2000	2010	2000	2010
Uag	78,216	89,510	UAg	74,964	88,847	95.84%	99.26%	100.0%		0.0%	
			BULO	3,252	663	4.16%	0.74%				
			UPO	0	0	0.00%	0.00%				
BULO	10,717	13,770	UAg	4,200	7,040	39.19%	51.12%				
			BULO	6,516	6,731	60.81%	48.88%				
			UPO	0	0	0.00%	0.00%				
UPO	146,931	150,701	UAg	7,368	15,227	5.01%	10.10%	66.6%	89.7%	33.4%	10.3%
			BULO	933	712	0.64%	0.47%				
			UPO	89,484	119,194	60.90%	79.09%				
								Stay Rural		Transition to Urban	
RE	7,574,457	#####	UAg	2,976	4,230	0.04%	0.68%	99.1%	99.1%	0.9%	0.9%
			BULO	3,039	10,918	0.04%	0.06%				
			UPO	61,153	51,149	0.81%	0.14%				

# GHSL in forecasting

- Areas detected as built-up, remain built-up.
- About 50% of area detected as built-up but not classified as urban by the census transition to census-urban a decade later.
  - But 30% of the census-designated urban areas do not meet a GHSL threshold of 50%.
    - Improves if threshold is lowered.
    - Expected to improve with GHSL-Sentinel
- Rural transitions to built-up land occur on the edges of existing urban locations.



# Part II:

## SSP Spatial Population Forecasts

### *CIDR/NCAR downscaling model*

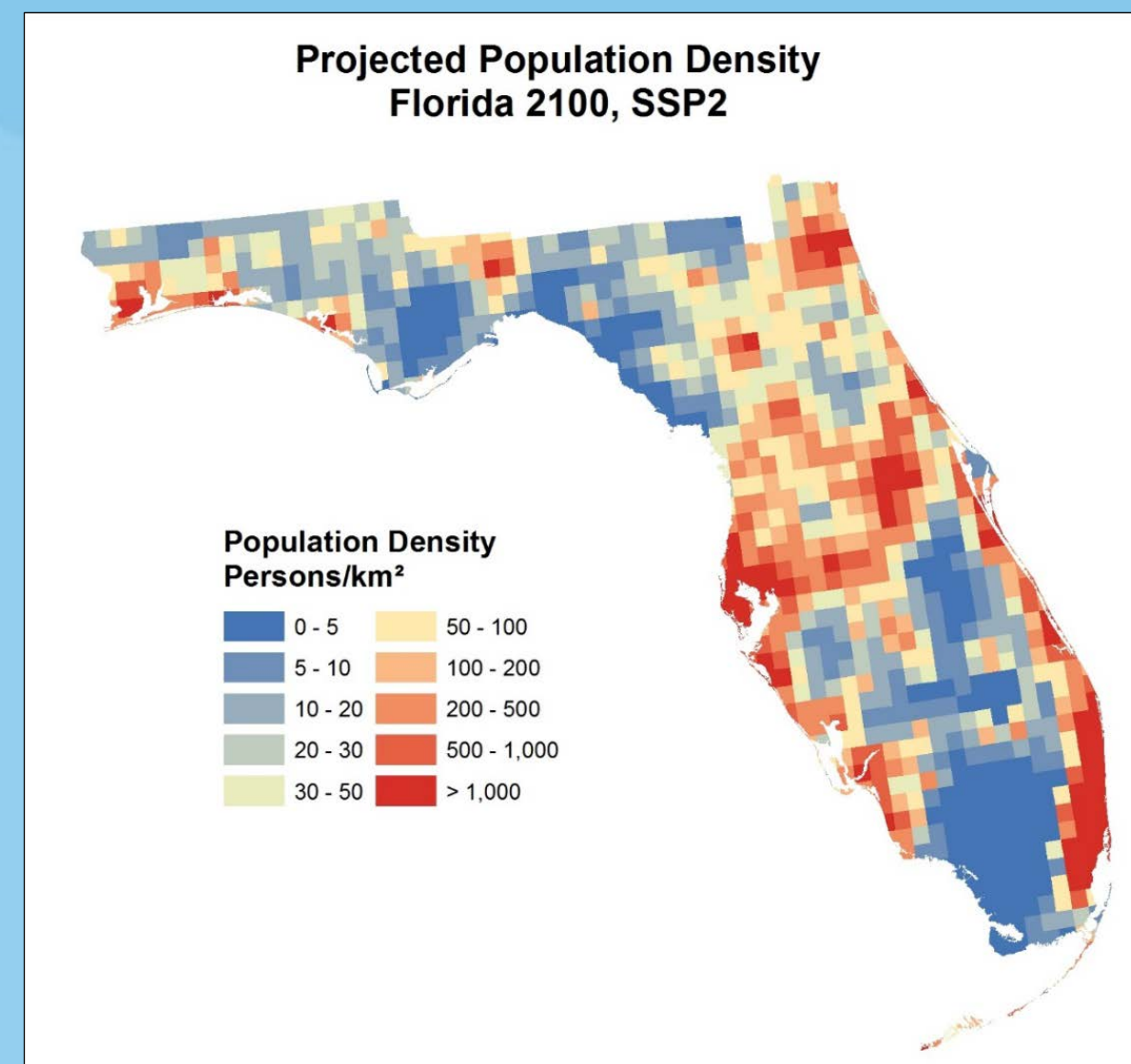
To develop an improved methodology for constructing large-scale, plausible future spatial population scenarios which may be calibrated to reflect alternative regional patterns of development for use in the scenario-based assessment of global change.

### Characteristics

- Gravity-based downscaling model
- Captures observed geographic patterns
  - Calibration
- Flexible framework
  - Data
  - Resolution (temporal & spatial)

### SSP-based spatial population scenarios

- 232 countries/territories
- Urban, rural, and total populations
- 10-year time steps, 1/8<sup>th</sup> degree

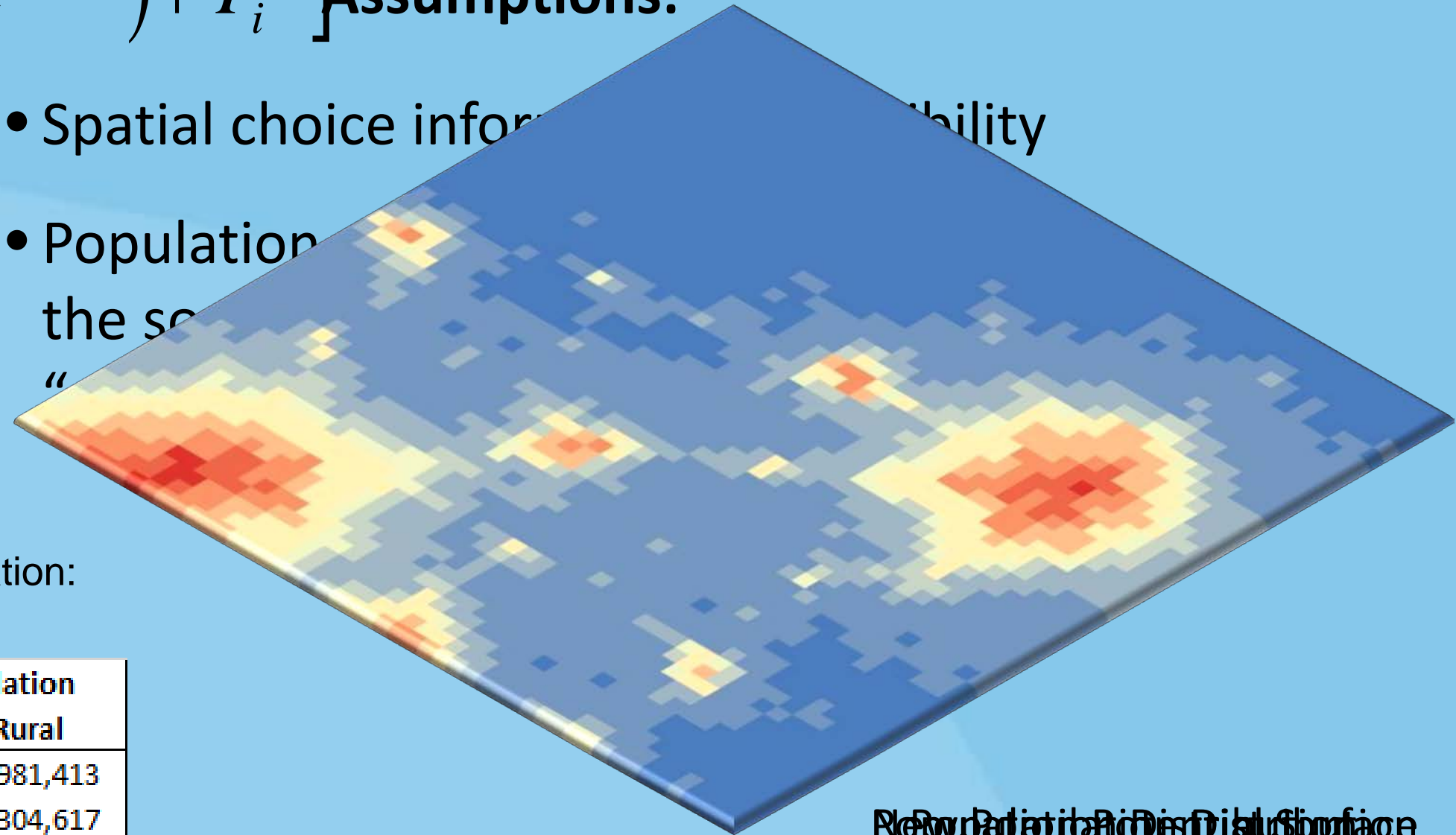


# CIDR/NCAR Spatial Population Downscaling Model

$$v_i = a_i l_i \sum_{j \neq i}^m \left[ \left( P_j e^{-\beta d_{ij}} \right) + P_i^\alpha \right]$$

Assumptions:

- Spatial choice information and accessibility
- Population growth is limited by the so-called "carrying capacity"



Projected US Population:  
SSP 1

Year	Projected Population	
	Urban	Rural
2010	255,402,535	54,981,413
2020	286,417,662	50,304,617
2030	318,137,168	45,549,066
2040	348,191,432	40,609,471
2050	375,413,486	35,644,671
2060	400,977,524	30,977,872
2070	424,302,444	26,660,041
2080	442,644,461	22,611,644
2090	451,842,189	18,759,475
2100	451,283,127	15,223,964

Population Distribution Surface

- Allocation is limited by a geospatial mask indicating land not suitable for development.

# CIDR/NCAR Spatial Population Downscaling Model

The diagram illustrates the CIDR/NCAR Spatial Population Downscaling Model equation, with various components annotated by colored boxes and arrows:

- Adjustment Factor** (grey box) points to  $a_i$ .
- Spatial Mask** (grey box) points to  $l_i$ .
- Distance Parameter** (orange box) points to  $\beta$  in the exponent  $-\beta d_{ij}$ .
- Distance** (purple box) points to  $d_{ij}$  in the exponent  $-\beta d_{ij}$ .
- Population Parameter** (orange box) points to  $\alpha$  in the term  $P_i^\alpha$ .
- Population** (purple box) points to  $P_j$  and  $P_i$ .

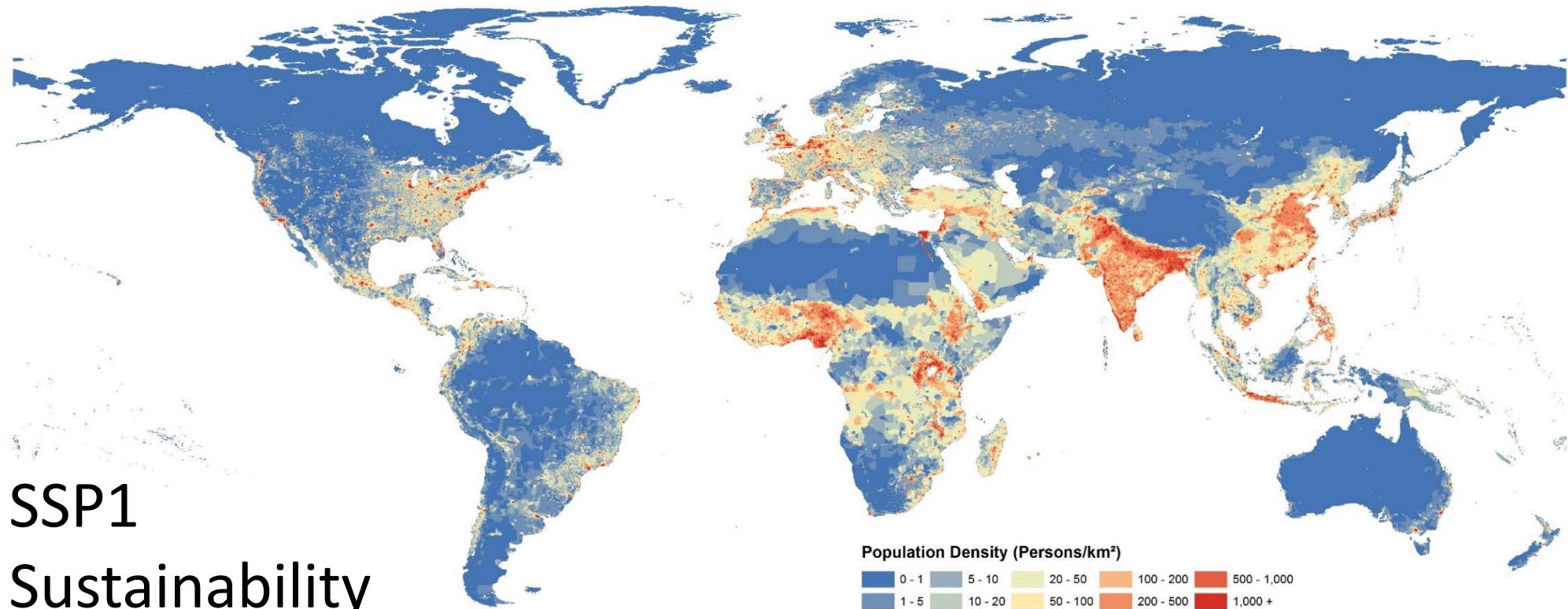
$$v_i = a_i l_i \sum_{j \neq i}^m \left[ \left( P_j e^{-\beta d_{ij}} \right) + P_i^\alpha \right]$$

- Potential is calculated for each cell over a window of 100km.
- Currently: Urban and rural populations coexist within grid cells.
- **Future: GHSL will be used to refine the agglomeration effect, urban/rural distributions, and predict likely new development**
- Parameters ( $\alpha$  and  $\beta$ ) are estimated from historical data for both urban and rural distributions.

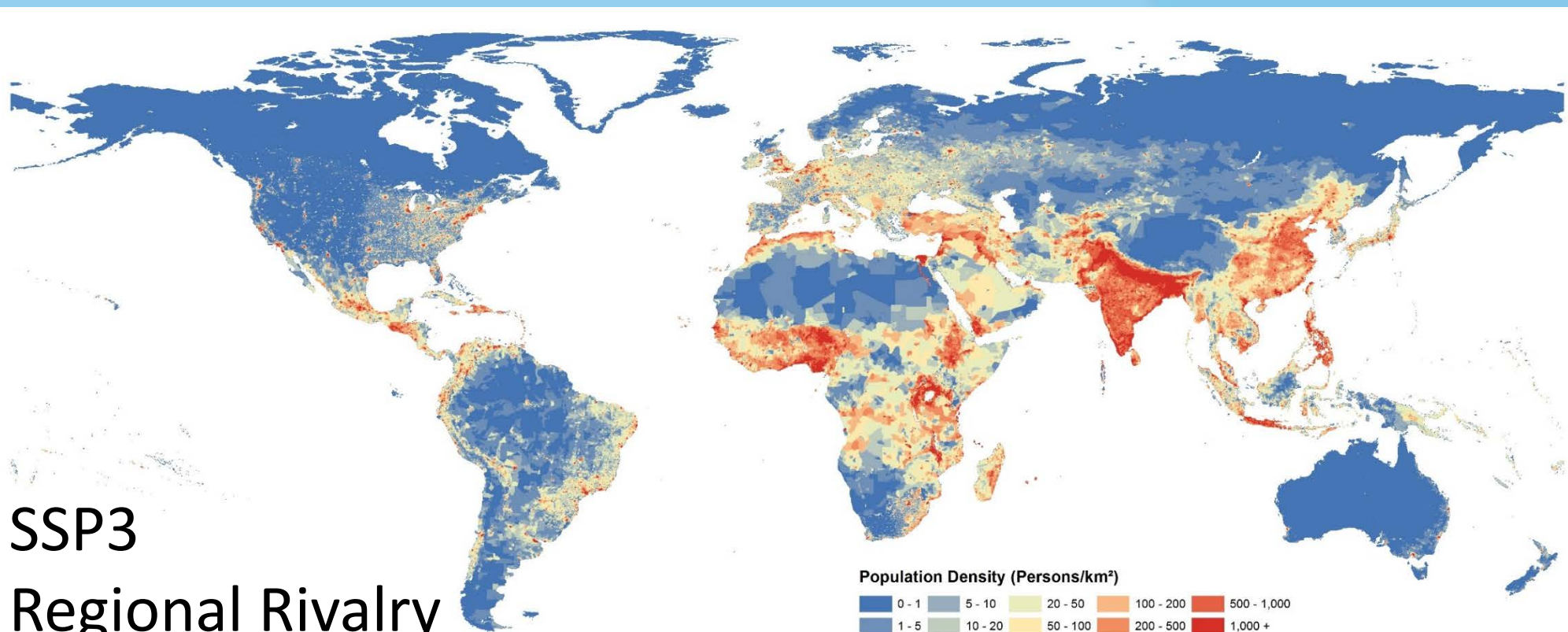


# Projected Population (2100)

SSP1  
Sustainability

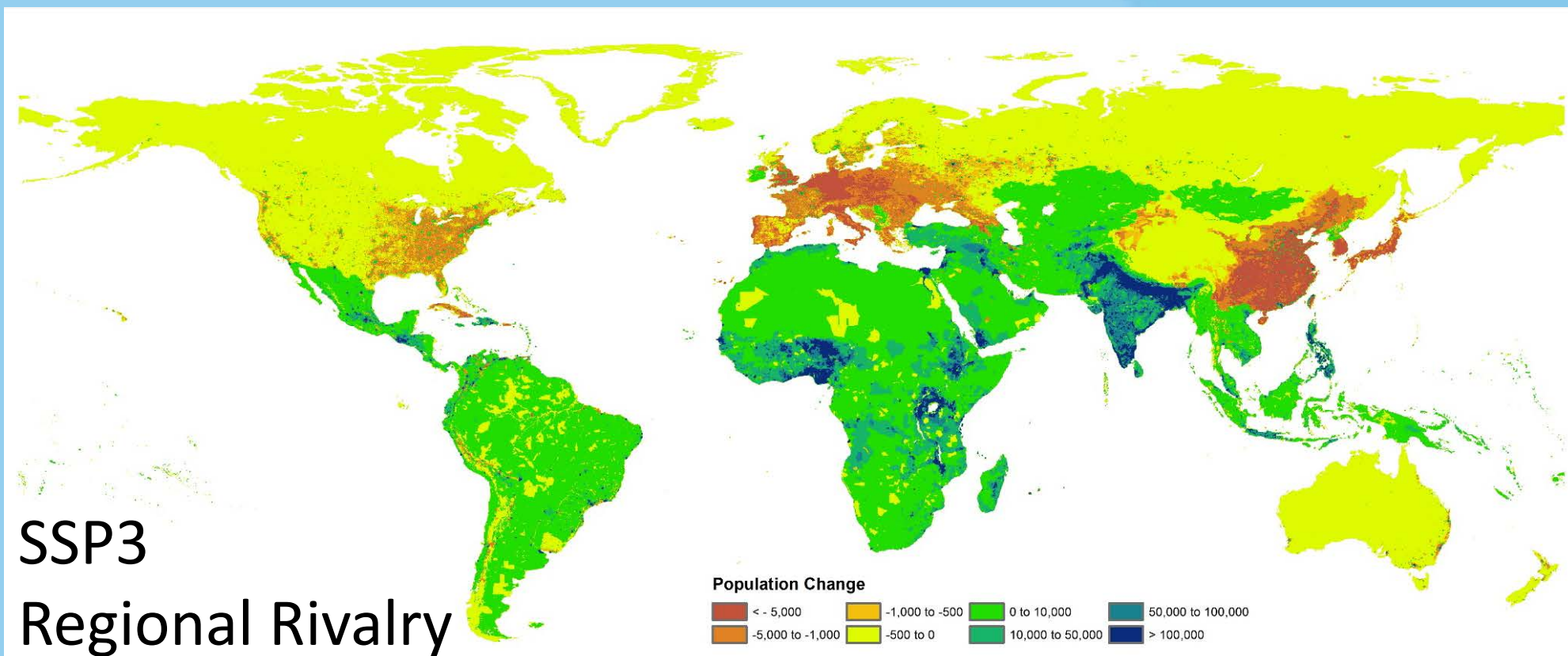
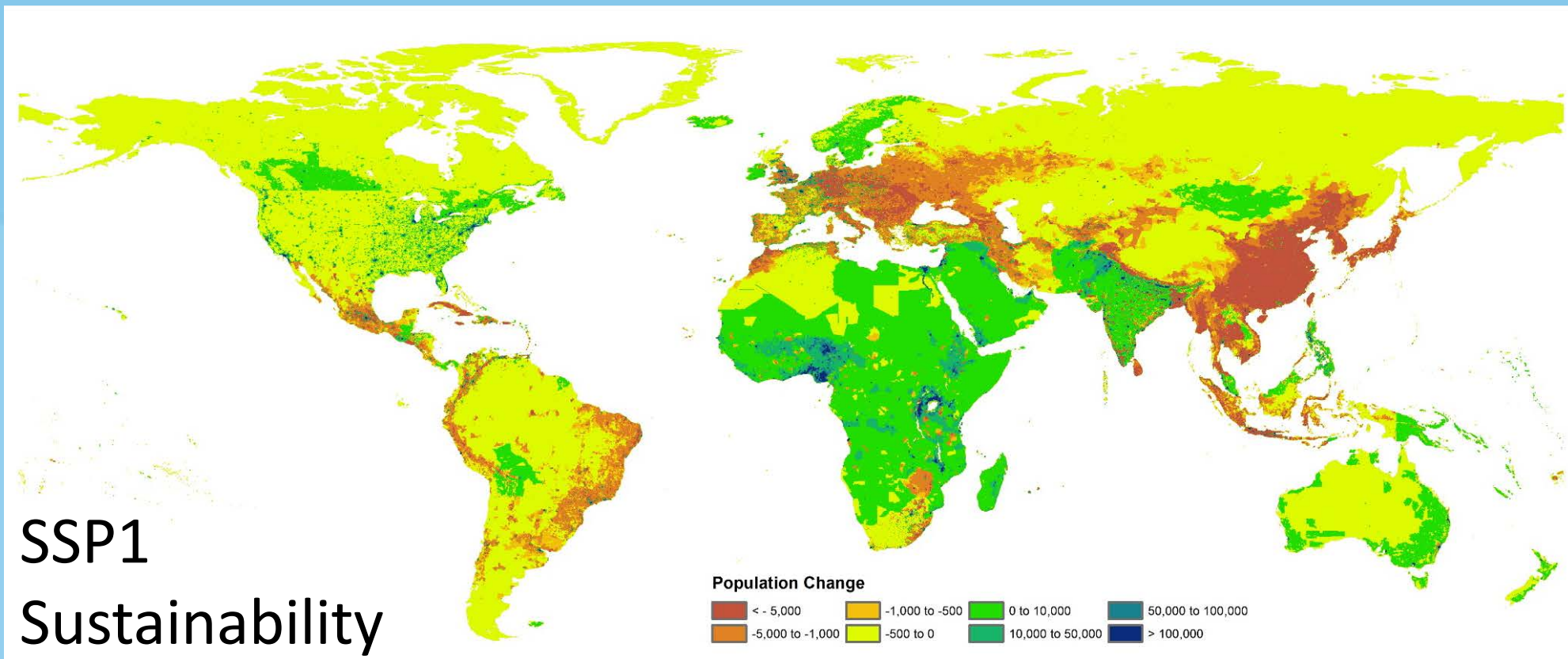


SSP3  
Regional Rivalry

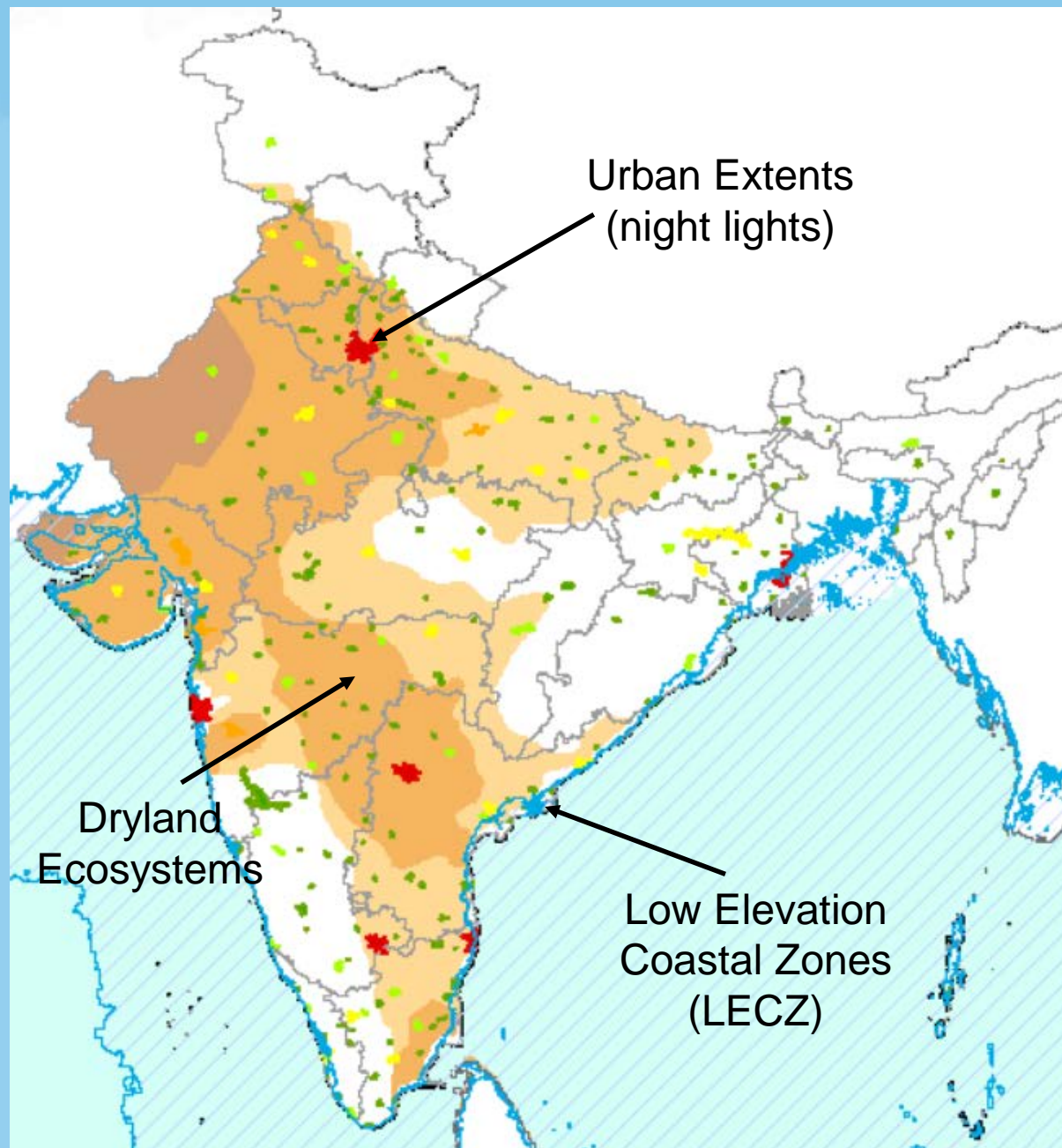




# Projected Population Change (2010-2100)



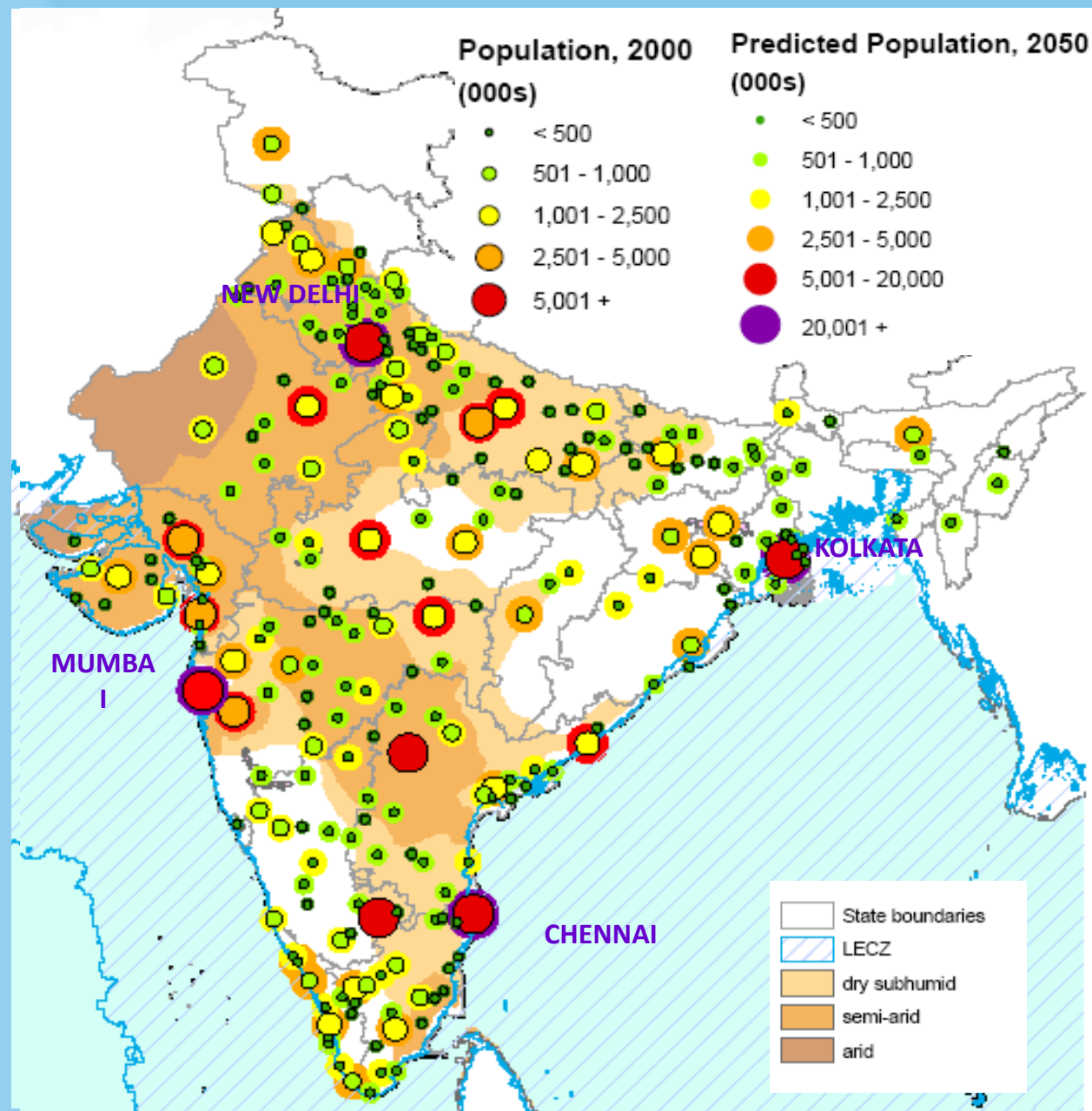
# Part III: Where are the cities?



- Remarkably current urban projections are aspatial: They are not city-growth forecasts!
- Satellite data consistently give shape to cities where administrative data do not.
  - Currently: shown with GRUMP
  - Future: GHSL to be used for refined spatial features and to detect spatial changes at the city-scale



# How Will They Grow?



Source: Balk, Montgomery et al., 2009

- This is what aspatial city growth models look like.
- Departing from urban projections, however, at least these are demographically informed (still working on migration)
  - At the scale of a city, we don't typically have demographic rates. We have subnational demographic rates for urban residents. We use these.
  - Demographic/socio-economic data help us understand the causes and implications of that change.
  - GHSL and other spatial information will help us to understand how cities change in space.

$$g_{i,t} = \beta_0 + \beta_1 TFR_{i,t} + \beta_2 Q_{i,t} + \beta_3 NM_{i,t} + \beta_4 X_i + \beta_5 V_{i,t} + u_{i,t}$$

# Conclusion

- 3 decades of US census data + GHSL shows that GHSL holds much promise for use in spatially-explicit forecasts of population and city-growth
- Refining variables/inputs to statistical models
- Provides an improved understanding of spatially explicit patterns of urban change
  - Which in turn improves our knowledge of the drivers of urban change